Enumerating permutation polynomials

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Abstract

We consider the problem of enumerating polynomials over \mathbb{F}_q , that have certain coefficients prescribed to given values and permute certain substructures of \mathbb{F}_q . In particular, we are interested in the group of *N*-th roots of unity and in the submodules of \mathbb{F}_q . We employ the techniques of Konyagin and Pappalardi to obtain results that are similar to their results in [*Finite Fields and their Applications*, 12(1):26–37, 2006]. As a consequence, we prove conditions that ensure the existence of low-degree permutation polynomials of the mentioned substructures of \mathbb{F}_q .

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1. Introduction

Let $q = p^t$, where p is a prime and t is a positive integer. A polynomial over the finite field \mathbb{F}_q is called a *permutation polynomial* if it induces a permutation on \mathbb{F}_q . The study of permutation polynomials goes back to the work of Hermite [6], Dickson [5], and subsequently Carlitz [3] and others. Recently, interest in permutation polynomials has been renewed due to applications they have found in coding theory, cryptography and combinatorics. We refer to Chapter 7 of [10] for background on permutation polynomials, as well as an extensive discussion on the history of the subject.

In a recent work, Coulter, Henderson and Matthews [4] present a new construction of permutation polynomials. Their method requires a polynomial that permutes the group of N-th roots of unity, μ_N , where $N \mid q-1$, and an auxiliary function T which contracts \mathbb{F}_q to $\mu_N \cup \{0\}$ and has some additional linearity property. This idea was generalized by Akbary, Ghioca and Wang [2].

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In different line of work, Konyagin and Pappalardi [7, 8] count the permutation polynomials that have given coefficients equal to zero. Given a permutation $\sigma \in S(\mathbb{F}_q)$, there exists a unique polynomial in $f_{\sigma} \in \mathbb{F}_q[X]$ of degree at most q-2 such that $f_{\sigma}(c) = \sigma(c)$ for all $c \in \mathbb{F}_q$. For any $0 < k_1 < \cdots < k_d < q-1$, they define $N_q(k_1, \ldots, k_d)$ to be the number of permutations σ such that the corresponding polynomial f_{σ} has the coefficients of X^{k_i} , $1 \leq i \leq d$, equal to zero and prove the following main result.

Theorem 1.1 ([8], Theorem 1).

$$\left|N_q(k_1,\ldots,k_d) - \frac{q!}{q^d}\right| \le \left(1 + \frac{1}{\sqrt{e}}\right)^q ((q-k_1-1)q)^{q/2}$$

In particular, this implies that there exist such permutations, given that $q!/q^d > (1 + e^{-1/2})^q ((q - k_1 - 1)q)^{q/2}$.

Akbary, Ghioca and Wang [1] sharpened this result by enumerating permutation polynomials of prescribed shape, that is, with a given set of non-zero monomials.

In the present work, we consider the problem of enumerating polynomials over \mathbb{F}_q , that have certain coefficients fixed to given values, and permute certain substructures of \mathbb{F}_q , namely the group of N-th roots of unity and submodules of \mathbb{F}_q and prove the following theorems.

Theorem 1.2. If $N!/\mathfrak{q}^d \geq [(\mathfrak{q}-1)(N-k_1)]^{N/2}(1+e^{-1/2})^N$, then there exists a polynomial of $\mathbb{F}_q[X]$ of degree at most N-1, that permutes μ_N , the N-th roots of unity, with the coefficients of X^{k_i} equal to $a_i \in \mathbb{F}_q$, for $i = 1, \ldots, d$ and $0 < k_1 < \cdots < k_d < N$, where $N \mid q-1$ and \mathfrak{q} is the minimum divisor of q with $N \mid \mathfrak{q} - 1$.

Theorem 1.3. Let \mathbb{F}_r be a proper subfield of \mathbb{F}_q . Suppose $\mathfrak{r}!/\mathfrak{q}^d \geq \mathfrak{q}^{\mathfrak{r}/2}(\mathfrak{r} - k_1 - 1)^{\mathfrak{r}/2}(1 + e^{-1/2})^{\mathfrak{r}}$, then there exists a polynomial of $\mathbb{F}_q[X]$ that permutes \mathcal{F} , an $\mathbb{F}_r[X]$ -submodule of \mathbb{F}_q , with its coefficients of X^{k_i} equal to $a_i \in \mathbb{F}_q$, for $i = 1, \ldots, d$ and $0 < k_1 < \cdots < k_d < N$, where $\mathfrak{r} = r^n = |\mathcal{F}|$, $\mathfrak{q} = r^{\rho}$ and ρ is the order and n is the degree of the Order of \mathcal{F} .

We employ the techniques of Konyagin and Pappalardi to obtain results that are similar to those in [8]. In particular, Theorems 1.2 and 1.3 can be viewed as the analoges of Theorem 1.1 for roots of unity and submodules respectively, while they also imply the existence of low-degree polynomials that permute these substructures of \mathbb{F}_q , see Corollaries 2.1 and 3.1.

2. Enumeration of polynomials that permute roots of unity

Let $N \mid q-1$ and $\sigma \in S(\mu_N)$ be a permutation of μ_N . We define the polynomial

$$f_{\sigma}(X) = \frac{1}{N} \sum_{c \in \mu_N} \sigma(c) g_c(X), \qquad (1)$$

where $g_c(X) = \sum_{j=0}^{N-1} c^{-j} X^j$, for $c \in \mu_N$. It is clear that $g_c(c) = N$ and $g_c(x) = 0$ for all $x \in \mu_N \setminus \{c\}$, hence $f_{\sigma}(\omega) = \sigma(\omega)$, for every $\omega \in \mu_N$. Given d integers $0 < k_1 < \cdots < k_d < N$, we denote

 $N_q(\mathbf{k}, \mathbf{a}) = \left| \left\{ \sigma \in S(\mu_N) \mid \text{ the coefficient of } X^{k_i} \text{ of } f_\sigma \text{ is } a_i, \forall 1 \le i \le d \right\} \right|,$

where $\mathbf{k} = (k_1, \ldots, k_d)$ and $\mathbf{a} = (a_1, \ldots, a_d) \in \mathbb{F}_q^d$. From (1), we see that the *j*-th coefficient of f_{σ} is equal to some $a \in \mathbb{F}_q$ if and only if

$$\sum_{c \in \mu_N} c^{-j} \sigma(c) = Na,$$

so we have

$$N_q(\mathbf{k}, \mathbf{a}) = \left| \left\{ \sigma \in S(\mu_N) \mid \sum_{c \in \mu_N} c^{-k_i} \sigma(c) = N a_i, \forall 1 \le i \le d \right\} \right|.$$

For any $S \subseteq \mu_N$, define the sets

$$A_{S} = \left\{ f : \mu_{N} \to S \mid \sum_{c \in \mu_{N}} c^{-k_{i}} f(c) = Na_{i}, \forall 1 \leq i \leq d \right\},$$
$$B_{S} = \left\{ f : \mu_{N} \to S \mid f \text{ is surjective, } \sum_{c \in \mu_{N}} c^{-k_{i}} f(c) = Na_{i}, \forall 1 \leq i \leq d \right\}.$$

Also, define $A(S) = |A_S|$ and $B(S) = |B_S|$. It is not hard to see that since $A(M) = \sum_{T \subseteq M} B(T)$, for every $M \subseteq \mu_N$, we have that

$$B(M) = \sum_{T \subseteq M} (-1)^{|M| - |T|} A(T).$$
(2)

For $M = \mu_N$, the above implies

$$N_q(\mathbf{k}, \mathbf{a}) = \sum_{S \subseteq \mu_N} (-1)^{N-|S|} A(S).$$
(3)

Recall that $q = p^t$. Set $e_p(u) := e^{2\pi i u/p}$ and $\operatorname{Tr}(x)$ the absolute trace of $x \in \mathbb{F}_q$, i.e. $\operatorname{Tr}(x) := x + x^p + \cdots + x^{p^{t-1}}$ for $x \in \mathbb{F}_q$. Further, let \mathfrak{q} be the smallest power of p such that $N | \mathfrak{q} - 1$, i.e. $\mathbb{F}_{\mathfrak{q}}$ is the smallest subfield of \mathbb{F}_q containing μ_N . If $S \subseteq \mu_N$, then

$$A(S) = \frac{1}{\mathfrak{q}^{d}} \sum_{(\alpha_{1},...,\alpha_{d})\in\mathbb{F}_{\mathfrak{q}}^{d}} \sum_{f:\mu_{N}\to S} e_{p} \left(\operatorname{Tr} \left(\sum_{i=1}^{d} \alpha_{i} \left(-Na_{i} + \sum_{c\in\mu_{N}} c^{-k_{i}} f(c) \right) \right) \right) \right)$$
$$= \frac{1}{\mathfrak{q}^{d}} \sum_{(\alpha_{1},...,\alpha_{d})\in\mathbb{F}_{\mathfrak{q}}^{d}} \sum_{f:\mu_{N}\to S} \frac{e_{p} \left(\sum_{c\in\mu_{N}} \operatorname{Tr} \left(f(c) \sum_{i=1}^{d} \alpha_{i} c^{-k_{i}} \right) \right) \right)}{e_{p} \left(\operatorname{Tr} \left(N \sum_{i=1}^{d} \alpha_{i} a_{i} \right) \right)}$$
$$= \frac{1}{\mathfrak{q}^{d}} \sum_{(\alpha_{1},...,\alpha_{d})\in\mathbb{F}_{\mathfrak{q}}^{d}} \frac{\prod_{c\in\mu_{N}} \sum_{t\in S} e_{p} \left(\operatorname{Tr} \left(t \sum_{i=1}^{d} \alpha_{i} c^{-k_{i}} \right) \right) \right)}{e_{p} \left(\operatorname{Tr} \left(N \sum_{i=1}^{d} \alpha_{i} a_{i} \right) \right)}$$
$$= \frac{|S|^{N}}{\mathfrak{q}^{d}} + R_{S}, \tag{4}$$

where

$$\begin{split} |R_{S}| &\leq \frac{\mathfrak{q}^{d}-1}{\mathfrak{q}^{d}} \max_{(\alpha_{1},\dots,\alpha_{d})\in\mathbb{F}_{\mathfrak{q}}^{d}\setminus\{0\}} \frac{\prod_{c\in\mu_{N}} \left|\sum_{t\in S} e_{p}\left(\operatorname{Tr}\left(t\sum_{i=1}^{d} \alpha_{i}c^{-k_{i}}\right)\right)\right|}{\left|e_{p}\left(\operatorname{Tr}\left(N\sum_{i=1}^{d} \alpha_{i}a_{i}\right)\right)\right|} \\ &= \frac{\mathfrak{q}^{d}-1}{\mathfrak{q}^{d}} \max_{(\alpha_{1},\dots,\alpha_{d})\in\mathbb{F}_{\mathfrak{q}}^{d}\setminus\{0\}} \prod_{c\in\mu_{N}} \left|\sum_{t\in S} e_{p}\left(\operatorname{Tr}\left(t\sum_{i=1}^{d} \alpha_{i}c^{-k_{i}}\right)\right)\right|. \end{split}$$

Moreover, the AM-GM inequality implies

$$\begin{split} \prod_{c \in \mu_N} \left| \sum_{t \in S} e_p \left(\operatorname{Tr} \left(t \sum_{i=1}^d \alpha_i c^{-k_i} \right) \right) \right| &\leq \left(\frac{1}{N} \sum_{c \in \mu_N} \left| \sum_{t \in S} e_p \left(\operatorname{Tr} \left(t \sum_{i=1}^d \alpha_i c^{-k_i} \right) \right) \right|^2 \right)^{\frac{N}{2}} \\ &\leq \left(\frac{1}{N} \sum_{c \in \mathbb{F}_q^*} \left| \sum_{t \in S} e_p \left(\operatorname{Tr} \left(t \sum_{i=1}^d \alpha_i c^{N-k_i} \right) \right) \right|^2 \right)^{N/2} \\ &\leq \left(\frac{1}{N} \sum_{u \in \mathbb{F}_q^*} (N-k_1) \left| \sum_{t \in S} e_p (\operatorname{Tr}(tu)) \right|^2 \right)^{N/2}. \end{split}$$

With the help of the well-known identity, see [9, Chapter 3],

$$\sum_{u \in \mathbb{F}_{q}} \left| \sum_{t \in S} e_{p}(\operatorname{Tr}(tu)) \right|^{2} = \mathfrak{q}|S|,$$
(5)

we eventually get that

$$\prod_{c \in \mu_N} \left| \sum_{t \in S} e_p \left(\operatorname{Tr} \left(t \sum_{i=1}^d \alpha_i c^{-k_i} \right) \right) \right| \le \left(\frac{(\mathfrak{q}-1)(N-k_1)|S|}{N} \right)^{N/2},$$

which implies

$$|R_S| \le \frac{\mathfrak{q}^d - 1}{\mathfrak{q}^d} \left(\frac{(\mathfrak{q} - 1)(N - k_1)|S|}{N} \right)^{N/2} < \left(\frac{(\mathfrak{q} - 1)(N - k_1)|S|}{N} \right)^{N/2}.$$
 (6)

By working similarly as in Eq. (2), but by considering the mappings $\mu_N \to \mu_N$, we conclude that

$$\sum_{S \subseteq \mu_N} (-1)^{N-|S|} |S|^N = N!,$$

that combined with Equations (3), (4) and (6) and the fact that $j \leq Ne^{j/N-1}$, since $1 + x \leq e^x$ for all x, we get

$$\begin{split} \left| N_q(\mathbf{k}, \mathbf{a}) - \frac{N!}{\mathfrak{q}^d} \right| &< \left(\frac{(\mathfrak{q} - 1)(N - k_1)}{N} \right)^{N/2} \sum_{j=0}^N \binom{N}{j} j^{N/2} \\ &\leq \left(\frac{(\mathfrak{q} - 1)(N - k_1)}{N} \right)^{N/2} \sum_{j=0}^N \binom{N}{j} (Ne^{j/N-1})^{N/2} \\ &= [(\mathfrak{q} - 1)(N - k_1)]^{N/2} \sum_{j=0}^N \binom{N}{j} (e^{-1/2})^{N-j} \\ &= [(\mathfrak{q} - 1)(N - k_1)]^{N/2} (1 + e^{-1/2})^N. \end{split}$$

Summing up, we have proved that

$$N_q(\mathbf{k}, \mathbf{a}) > \frac{N!}{\mathfrak{q}^d} - [(\mathfrak{q} - 1)(N - k_1)]^{N/2} (1 + e^{-1/2})^N,$$

which implies the Theorem 1.2.

If we apply this result in the case $k_b = N-1, k_{b-1} = N-1-1, \ldots, k_1 = N-b$ and $a_i = 0$ for all *i*, then we end up with the following interesting consequence.

Corollary 2.1. With the same assumptions as in Theorem 1.2, if

$$\sqrt[N]{N!/\mathfrak{q}^b} \ge \sqrt{b(\mathfrak{q}-1)}(1+e^{-1/2}),$$

then there exists a polynomial of \mathbb{F}_q of degree less than N-b that permutes μ_N .

3. Enumeration of polynomials that permute additive submodules

Throughout this section, we see \mathbb{F}_q as a $\mathbb{F}_r[X]$ -module, where \mathbb{F}_r is a proper subfield of \mathbb{F}_q , under the action $f \circ x = \sum_{i=0}^k f_i x^{q^i}$ for $f = \sum_{i=0}^k f_i X^i \in \mathbb{F}_r[X]$ and $x \in \mathbb{F}_q$. Furthermore, it follows directly from the Normal Basis Theorem, see [10, Theorem 2.35], that \mathbb{F}_q is a cyclic $\mathbb{F}_r[X]$ -module.

Let \mathcal{F} be an $\mathbb{F}_r[X]$ -submodule of \mathbb{F}_q , where $\mathfrak{r} := |\mathcal{F}| = r^n \leq q$. Since $\mathbb{F}_r[X]$ is a principal ideal domain and \mathcal{F} is a $\mathbb{F}_r[X]$ -submodule of \mathbb{F}_q , which is cyclic, it

follows that \mathcal{F} will be cyclic as well, see [11, Theorem 6.3]. As a consequence, there exists some monic $f \in \mathbb{F}_r[X]$, of degree n, with $f \mid X^m - 1$, such that

$$\mathcal{F} = \{ x \in \mathbb{F}_q \mid f \circ x = 0 \},\$$

which is known as the *Order* of \mathcal{F} . Also, for every $x \in \mathcal{F}$ we have that

$$\sum_{i=0}^{n} f_i x^{r^i - 1} = \begin{cases} 0, & \text{if } x \neq 0, \\ f_0, & \text{if } x = 0, \end{cases}$$

while $f_0 \neq 0$, since $f \mid X^m - 1$. Now, for $\sigma \in S(\mathcal{F})$ a permutation of \mathcal{F} , we define

$$f_{\sigma}(X) = \frac{1}{f_0} \sum_{c \in \mathcal{F}} \sigma(c) \sum_{i=0}^n f_i (X - c)^{r^i - 1}$$
(7)

and it is clear that $f_{\sigma}(\omega) = \sigma(\omega)$ for every $\omega \in \mathcal{F}$. Given *d* integers $0 < k_1 < \cdots < k_d < \mathfrak{r}$ and $(a_1, \ldots, a_d) \in \mathbb{F}_q^d$, we denote

$$N_q(\mathbf{k}, \mathbf{a}) = \left| \left\{ \sigma \in S(\mathcal{F}) \mid \text{ the coefficient of } X^{k_i} \text{ of } f_\sigma \text{ is } a_i, \forall 1 \le i \le d \right\} \right|.$$

From (7), we deduce that the *j*-th coefficient of f_{σ} is a if and only if

$$\sum_{c\in\mathcal{F}}\sum_{i=0}^{n} \binom{r^{i}-1}{j} f_{i}(-c)^{r^{i}-1-j}\sigma(c) = f_{0}a,$$

hence

$$N_q(\mathbf{k}, \mathbf{a}) = \left| \left\{ \sigma \in S(\mathcal{F}) \mid \sum_{c \in \mathcal{F}} \sum_{i=0}^n \binom{r^i - 1}{k_j} f_i c^{r^i - 1 - k_j} \sigma(c) = f_0 a_j, \forall 1 \le j \le d \right\} \right|.$$

For any $S \subseteq \mathcal{F}$, define the sets

$$A_{S} = \left\{ g : \mathcal{F} \to S \mid \sum_{c \in \mathcal{F}} \sum_{i=0}^{n} F_{ij} c^{r^{i} - 1 - k_{j}} g(c) = f_{0} a_{j}, \forall 1 \leq j \leq d \right\},$$
$$B_{S} = \left\{ g \in A_{S} \mid g \text{ is surjective} \right\},$$

where F_{ij} stands for $\binom{r^{i-1}}{k_j}f_i$. Define $A(S) = |A_S|$ and $B(S) = |B_S|$. As with Eq. (2), we can show that $A(M) = \sum_{T \subseteq M} B(T)$, for every $M \subseteq \mathcal{F}$, hence

$$N_q(\mathbf{k}, \mathbf{a}) = \sum_{S \subseteq \mathcal{F}} (-1)^{\mathfrak{r} - |S|} A(S).$$
(8)

Furthermore, let ρ be the order of f, i.e. ρ is minimal such that $f \mid X^{\rho} - 1$ and let $\mathfrak{q} := r^{\rho}$. It follows that $\mathbb{F}_{\mathfrak{q}}$ is the smallest subfield of \mathbb{F}_{q} containing \mathcal{F} . For $S \subseteq \mathcal{F}$, as in the case of Equation (4), we have

$$A(S) = \frac{1}{\mathfrak{q}^{d}} \sum_{(\alpha_{1},...,\alpha_{d})\in\mathbb{F}_{\mathfrak{q}}^{d}} \sum_{g:\mathcal{F}\to S} \frac{e_{p}\left(\sum_{c\in\mathcal{F}}\operatorname{Tr}\left(g(c)\sum_{j=1}^{d}\alpha_{j}\sum_{i=0}^{n}F_{ij}c^{r^{i}-1-k_{j}}\right)\right)}{e_{p}\left(\operatorname{Tr}\left(\sum_{j=1}^{d}f_{0}\alpha_{j}a_{j}\right)\right)}$$
$$= \frac{1}{\mathfrak{q}^{d}} \sum_{(\alpha_{1},...,\alpha_{d})\in\mathbb{F}_{\mathfrak{q}}^{d}} \frac{\prod_{c\in\mathcal{F}}\sum_{t\in S}e_{p}\left(\operatorname{Tr}\left(t\sum_{j=1}^{d}\sum_{i=0}^{n}\alpha_{j}F_{ij}c^{r^{i}-1-k_{j}}\right)\right)}{e_{p}\left(\operatorname{Tr}\left(\sum_{j=1}^{d}f_{0}\alpha_{j}a_{j}\right)\right)}$$
$$= \frac{|S|^{\mathfrak{r}}}{\mathfrak{q}^{d}} + R_{S}, \tag{9}$$

where

$$\begin{aligned} |R_S| &\leq \frac{\mathfrak{q}^d - 1}{\mathfrak{q}^d} \max_{(\alpha_1, \dots, \alpha_d) \in \mathbb{F}_{\mathfrak{q}}^d \setminus \{0\}} \frac{\prod_{c \in \mathcal{F}} \left| \sum_{t \in S} e_p \left(\operatorname{Tr} \left(t \sum_{j=1}^d \sum_{i=0}^n \alpha_j F_{ij} c^{r^i - 1 - k_j} \right) \right) \right| \\ &\left| e_p \left(\operatorname{Tr} \left(\sum_{j=1}^d f_0 \alpha_j a_j \right) \right) \right| \\ &= \frac{\mathfrak{q}^d - 1}{\mathfrak{q}^d} \max_{(\alpha_1, \dots, \alpha_d) \in \mathbb{F}_{\mathfrak{q}}^d \setminus \{0\}} \prod_{c \in \mathcal{F}} \left| \sum_{t \in S} e_p \left(\operatorname{Tr} \left(t \sum_{j=1}^d \sum_{i=0}^n \alpha_j F_{ij} c^{r^i - 1 - k_j} \right) \right) \right|. \end{aligned}$$

Also, the AM-GM inequality yields

$$\begin{split} \prod_{c \in \mathcal{F}} \left| \sum_{t \in S} e_p \left(\operatorname{Tr} \left(t \sum_{j=1}^d \sum_{i=0}^n \alpha_j F_{ij} c^{r^i - 1 - k_j} \right) \right) \right| \\ &\leq \left(\frac{1}{\mathfrak{r}} \sum_{c \in \mathcal{F}} \left| \sum_{t \in S} e_p \left(\operatorname{Tr} \left(t \sum_{j=1}^d \sum_{i=0}^n \alpha_j F_{ij} c^{r^i - 1 - k_j} \right) \right) \right|^2 \right)^{\mathfrak{r}/2} \\ &\leq \left(\frac{1}{\mathfrak{r}} \sum_{c \in \mathbb{F}_q} \left| \sum_{t \in S} e_p \left(\operatorname{Tr} \left(t \sum_{j=1}^d \sum_{i=0}^n \alpha_j F_{ij} c^{r^i - 1 - k_j} \right) \right) \right|^2 \right)^{\mathfrak{r}/2} \\ &\leq \left(\frac{1}{\mathfrak{r}} \sum_{u \in \mathbb{F}_q} \left| \left| \sum_{t \in S} e_p \left(\operatorname{Tr} \left(t \sum_{j=1}^d \sum_{i=0}^n \alpha_j F_{ij} c^{r^i - 1 - k_j} \right) \right) \right|^2 \right)^{\mathfrak{r}/2}. \end{split}$$

With the help of (5), we show that

$$\prod_{c\in\mathcal{F}} \left| \sum_{t\in S} e_p \left(\operatorname{Tr} \left(t \sum_{j=1}^d \sum_{i=0}^n \alpha_j F_{ij} c^{r^i - 1 - k_j} \right) \right) \right| \le \left(\mathfrak{q} \left(1 - \frac{k_1 + 1}{\mathfrak{r}} \right) |S| \right)^{\mathfrak{r}/2},$$

that, in turn, yields

$$|R_S| \le \frac{\mathfrak{q}^d - 1}{\mathfrak{q}^d} \left(\mathfrak{q} \left(1 - \frac{k_1 + 1}{\mathfrak{r}} \right) |S| \right)^{\mathfrak{r}/2} < \left(\mathfrak{q} \left(1 - \frac{k_1 + 1}{\mathfrak{r}} \right) |S| \right)^{\mathfrak{r}/2}.$$
(10)

Now, as in the case of the roots of unity, it is clear that

$$\sum_{S\subseteq \mathcal{F}} (-1)^{\mathfrak{r}-|S|} |S|^{\mathfrak{r}} = \mathfrak{r}!,$$

which combined with Equations (8), (9) and (10) and the fact that $j \leq \mathfrak{r}e^{j/\mathfrak{r}-1}$, gives

$$\begin{split} \left| N_q(\mathbf{k}, \mathbf{a}) - \frac{\mathfrak{r}!}{\mathfrak{q}^d} \right| &< \mathfrak{q}^{\mathfrak{r}/2} \left(1 - \frac{k_1 + 1}{\mathfrak{r}} \right)^{\mathfrak{r}/2} \sum_{j=0}^{\mathfrak{r}} \binom{\mathfrak{r}}{j} j^{\mathfrak{r}/2} \\ &\leq \mathfrak{q}^{\mathfrak{r}/2} \left(1 - \frac{k_1 + 1}{\mathfrak{r}} \right)^{\mathfrak{r}/2} \sum_{j=0}^{\mathfrak{r}} \binom{\mathfrak{r}}{j} \left(\mathfrak{r} e^{j/\mathfrak{r} - 1} \right)^{\mathfrak{r}/2} \\ &= \mathfrak{q}^{\mathfrak{r}/2} (\mathfrak{r} - k_1 - 1)^{\mathfrak{r}/2} (1 + e^{-1/2})^{\mathfrak{r}}. \end{split}$$

To sum up, in this section we proved that

$$N_q(\mathbf{k}, \mathbf{a}) > \frac{\mathfrak{r}!}{\mathfrak{q}^d} - \mathfrak{q}^{\mathfrak{r}/2} (\mathfrak{r} - k_1 - 1)^{\mathfrak{r}/2} (1 + e^{-1/2})^{\mathfrak{r}}$$

which implies Theorem 1.3.

By applying this for $k_b = \mathfrak{r} - 1$, $k_{b-1} = \mathfrak{r} - 1 - 1$, ..., $k_1 = \mathfrak{r} - b$ and $a_i = 0$ for all *i*, we end up with the following.

Corollary 3.1. With the same assumptions as in Theorem 1.3, if

$$\sqrt[\mathfrak{r}]{\frac{\mathfrak{r}!}{\mathfrak{q}^d}} \ge \sqrt{\mathfrak{q}(b-1)}(1+e^{-1/2}),$$

then there exists a polynomial of \mathbb{F}_q of degree less than $\mathfrak{r} - b$ that permutes \mathcal{F} .

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