

# Polynomials with special properties over finite fields

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Our purpose is to prove some existence results for irreducible polynomials over finite fields, with special properties. These properties include combinations of

- primitiveness,
- freeness (a root of the polynomial forms a normal basis) and
- having some coefficients prescribed.

The main idea behind our techniques dates back to the 50's and the work of Carlitz and remains popular among authors. Roughly, our method is:

- 1 We express the characteristic or a characteristic-like function for a polynomial (or its roots) with the desired properties with help of characters,
- 2 this leads us to a sufficient condition for the existence of our desired polynomial.
- 3 With the the help of characters sum estimates, we end up with asymptotic results, for the existence of the elements we seek.
- 4 If necessary and desirable, we deal with the remaining cases with a case-by-case approach.

# Part I: The Hansen-Mullen conjecture for self-reciprocal irreducible polynomials

This work is joint work with Theodoulos Garefalakis and published:



T. Garefalakis and G. Kapetanakis.

On the Hansen-Mullen conjecture for self-reciprocal irreducible polynomials.

*Finite Fields Appl.*, 18(4):832–841, 2012.



T. Garefalakis and G. Kapetanakis.

A note on the Hansen-Mullen conjecture for self-reciprocal irreducible polynomials.

*Finite Fields Appl.*, 35(C):61–63, 2015.

Hansen and Mullen (1992) conjectured that there exists an irreducible polynomial of  $\mathbb{F}_q$  with a coefficient prescribed, with some exceptions. Wan (1997) proved that the conjecture holds, for  $q > 19$  or  $n \geq 36$  and Ham and Mullen (1998) proved the remaining cases with the help of computers.

### Theorem (Hansen-Mullen conjecture)

*Let  $a \in \mathbb{F}_q$ , let  $n \geq 2$  and fix  $0 \leq j < n$ . Then there exists an irreducible polynomial  $P(X) = X^n + \sum_{k=0}^{n-1} P_k X^k$  over  $\mathbb{F}_q$  with  $P_j = a$  except when  $j = a = 0$  or  $q$  even,  $n = 2$ ,  $j = 1$ , and  $a = 0$ .*

Given a polynomial  $Q \in \mathbb{F}_q[X]$ , its **reciprocal**  $Q^R$  is defined as

$$Q^R(X) = X^{\deg(Q)} Q(1/X).$$

One class of polynomials that has been intensively investigated is that of **self-reciprocal irreducible polynomials**, that is, irreducible polynomials that satisfy  $Q^R(X) = Q(X)$ . Besides their theoretical interest, self-reciprocal irreducible polynomials have been useful in applications, and in particular in the construction of error-correcting codes.

It is natural to expect that self-reciprocal monic irreducible polynomials over finite fields, with some coefficient fixed, exist.

- Carlitz (1967) characterized self-reciprocal irreducible monic polynomials over  $\mathbb{F}_q$  (**srimp**):  $Q$  is a srimp iff

$$Q(X) = X^n \hat{P}(X + X^{-1})$$

for some monic irreducible  $\hat{P}$  of degree  $n$ , such that  $\psi(\hat{P}) = -1$ , where  $\psi$ , the Jacobi symbol modulo  $X^2 - 4$ .

- Which (after some computations) implies

$$Q_k = \sum_{j=0}^k \delta_j P_j,$$

where  $P$  is an irreducible polynomial with constant term equal to 1 and  $\psi(P) = \varepsilon$ .

We define  $\tau_{n,k} : \mathbb{G}_k \rightarrow \mathbb{F}_q$ ,  $H \mapsto \sum_{j=0}^k \delta_j H_j$ . We have proved:

### Proposition

*If there exists an irreducible  $P \in \mathbb{F}_q[X]$  with  $P_0 = 1$ , such that  $\psi(P) = \varepsilon$  and  $P \equiv H \pmod{X^{k+1}}$  for some  $H \in \mathbb{G}_k$  with  $\tau_{n,k}(H) = a$ . Then there exists a srimp  $Q$ , of degree  $2n$ , with  $Q_k = a$ .*

Next, we need to correlate the inverse image of  $\tau_{n,k}$  with  $\mathbb{G}_{k-1}$ . In this direction, we prove.

### Proposition

*There exists a polynomial  $F$  (defined appropriately) such that the map  $\tau_{n,k}^{-1}(a) \rightarrow \mathbb{G}_{k-1} : H \mapsto HF \pmod{X^{k+1}}$  is a bijection.*



Inspired by Wan's work (1997) we introduce the following weighted sum.

$$w_a(n, k) = \sum_{H \in \tau_{n,k}^{-1}(a)} \Lambda(FH) \sum_{\psi(P)=\varepsilon, P \equiv H \pmod{X^{k+1}}} 1.$$

If  $w_a(n, k) > 0$ , then there exists a srimp  $Q$ , of degree  $2n$  with  $Q_k = a$ .

Let  $U$  be the subgroup of  $(\mathbb{F}_q[X]/X^{k+1}\mathbb{F}_q[X])^*$  that contains classes of polynomials with constant term equal to 1. Using the orthogonality relations, we eventually get that

$$w_a(n, k) = \frac{1}{q^k} \sum_{\chi \in \widehat{U}} \sum_{\substack{P \in \mathbb{J}_n \\ \psi(P) = \varepsilon}} \chi(P) \bar{\chi}(G) \sum_{H \in \mathbb{G}_{k-1}} \Lambda(H) \bar{\chi}(H),$$

where  $G$  is the inverse of  $F$  modulo  $X^{k+1}$ .

We separate the term that corresponds to  $\chi_0$  and we get

$$\left| w_a(n, k) - \frac{\pi_q(n, \varepsilon)}{q^k} \sum_{H \in \mathbb{G}_{k-1}} \Lambda(H) \right| \leq \frac{1}{q^k} \sum_{\chi \neq \chi_0} \left| \sum_{P \in \mathbb{J}_n, \psi(P) = \varepsilon} \chi(P) \right| \left| \sum_{H \in \mathbb{G}_{k-1}} \Lambda(H) \bar{\chi}(H) \right|,$$

where  $\pi_q(n, \varepsilon) = |\{P \text{ irreducible of degree } n : \psi(P) = \varepsilon\}|$ .

Then we use estimates for  $\sum_{H \in \mathbb{G}_{k-1}} \Lambda(H)$ ,  $\sum_{H \in \mathbb{G}_{k-1}} \Lambda(H) \bar{\chi}(H)$  and  $\pi_q(n, -1)$ , we conclude that:

## Theorem

*Let  $n \geq 2$ ,  $1 \leq k \leq n$ , and  $a \in \mathbb{F}_q$ . There exists a srimp  $Q \in \mathbb{F}_q[X]$ , of degree  $2n$  with  $Q_k = a$  if the following bound holds.*

$$q^{\frac{n-k-1}{2}} \geq \frac{16}{5}k(k+5) + \frac{1}{2}.$$

Our final step is to content ourselves for  $k \leq n/2$  and solve the resulting problem. Using the theory developed earlier, we conclude that there exists a srimp over  $\mathbb{F}_q$  of degree  $2n$  with its  $k$ -th coefficient prescribed, if

$$\pi_q(n, -1) > \frac{\lfloor n/2 \rfloor (\lfloor n/2 \rfloor + 5)}{n} (\sqrt{q} + 1) (q^{\lfloor n/2 \rfloor / 2} - 1) q^{n/2}.$$

This bound is always true for  $n \geq 27$ . For  $n < 27$  this bound is satisfied for the pairs  $(q, n)$  described below

$n$	3	4	5	6	7	8	9	10
$q$	$\geq 149$	$\geq 839$	$\geq 37$	$\geq 59$	$\geq 17$	$\geq 23$	$\geq 11$	$\geq 13$
$n$	11	12	13	14	15	16	17	18
$q$	$\geq 9$	$\geq 9$	$\geq 7$	$\geq 7$	$\geq 5$	$\geq 7$	$\geq 5$	$\geq 5$
$n$	19	20	21	22	23	24	25	26
$q$	$\geq 5$	$\geq 5$	$\geq 5$	$\geq 5$	$\geq 5$	$\geq 5$	$\geq 3$	$\geq 5$

For the remaining cases, computers searches have been employed. The computer results, combined with the above imply the following.

## Theorem

*Let  $n \geq 3$  an integer and  $q$  a power of an odd prime. If  $k \leq n/2$  and  $a \in \mathbb{F}_q$ , then there exists a srimp of degree  $2n$  such that any of its  $k$ -th coefficient is prescribed to  $a$ , unless*

- 1  $q = 3, n = 3, a = 0$  and  $k = 1$  or
- 2  $q = 3, n = 4, a = 0$  and  $k = 2$ .

# Part II: Extending the (strong) primitive normal basis theorem I

This work is published in:



G. Kapetanakis.

Normal bases and primitive elements over finite fields.

*Finite Fields Appl.*, 26:123–143, 2014.

- A generator of the multiplicative group  $\mathbb{F}_{q^m}^*$  is called **primitive**. It is well-known that primitive elements exist for every  $q$  and  $m$ . Primitive elements are used in various applications, such as the Diffie-Hellman key exchange and the construction of Costas arrays, used in sonar and radar technology.
- An element  $x \in \mathbb{F}_{q^m}$  is called **free over  $\mathbb{F}_q$**  (or just **free**) if the set  $\{x, x^q, x^{q^2}, \dots, x^{q^{m-1}}\}$  is an  $\mathbb{F}_q$ -basis of  $\mathbb{F}_{q^m}$ . Such a basis is called **normal**. Hensel (1888) proved the existence of normal basis (**normal basis theorem**). He also observed their computational advantages for fast arithmetic. Naturally, software and hardware implementations, used mostly in coding theory and cryptography, use normal bases.
- Both primitiveness and freeness are properties common to either all or none of the roots of an irreducible polynomial, hence one can define **primitive polynomials** and **free polynomials** naturally.



Both primitive and free elements exist for every  $q$  and  $m$ . The existence of elements that are simultaneously primitive and free is also well-known.

### Theorem (Primitive normal basis theorem)

*Let  $q$  be a prime power and  $m$  a positive integer. There exists some  $x \in \mathbb{F}_{q^m}$  that is simultaneously primitive and free over  $\mathbb{F}_q$ .*

Lenstra and Schoof (1987) were the first to prove this result. Cohen and Huczynska (2003) provided a computer-free proof, using sieving techniques. A stronger result was shown.

### Theorem (Strong primitive normal basis theorem)

*Let  $q$  be a prime power and  $m$  a positive integer. There exists some  $x \in \mathbb{F}_{q^m}$  such that  $x$  and  $x^{-1}$  are both simultaneously primitive and free over  $\mathbb{F}_q$ , unless the pair  $(q, m)$  is one of  $(2, 3)$ ,  $(2, 4)$ ,  $(3, 4)$ ,  $(4, 3)$  or  $(5, 4)$ .*

Cohen and Huczynska (2010) proved this result in its stated form, using sieving techniques.

The problem we are considering here is the following.

### Problem

*Let  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{GL}_2(\mathbb{F}_q)$ . Does there exist some primitive  $x \in \mathbb{F}_{q^m}$  such that both  $x$  and  $(ax + b)/(cx + d)$  are free over  $\mathbb{F}_q$ ?*

- We solve this problem completely.
- Although not quite clear, this problem qualifies as an extension of the strong primitive normal basis theorem.

We call **Order** of  $x \in \mathbb{F}_{q^m}$  (note the big 'O') its additive order. For  $G \mid X^m - 1$ , we call  $x$   **$G$ -free**, if  $x = H \circ y$  for some  $y \in \mathbb{F}_{q^m}$  and  $H \mid G$ , implies  $H = 1$ . Then the characteristic function of  $G$ -free elements is

$$\Omega_G(x) := \theta(G') \sum_{F \mid G, F \text{ monic}} \frac{\mu(F')}{\phi(F')} \sum_{\psi \in \widehat{\mathbb{F}_{q^m}}, \text{Ord}(\psi)=F} \psi(x),$$

where  $G'$  is the square-free part of  $G$ . Also, free elements are exactly those of Order  $X^m - 1$ , i.e. those that are  $F_0$ -free, where  $F_0$  is the square-free part of  $X^m - 1$ .

Similarly, **order** of  $x \in \mathbb{F}_{q^m}^*$  (note the small 'o') is the multiplicative order of  $x$ . Also, for  $r \mid q^m - 1$ , we call  $x$   **$r$ -free**, if  $w \mid r$  and  $x = y^w$  implies  $w = 1$ . The characteristic function of  $r$ -free elements is

$$\omega_r(x) := \theta(r') \sum_{d|r} \frac{\mu(d)}{\phi(d)} \sum_{\chi \in \widehat{\mathbb{F}_{q^m}^*}, \text{ord}(\chi)=d} \chi(x),$$

where  $r'$  is the square-free part of  $r$ . Further, primitive elements are exactly those that have order equal to  $q^m - 1$ , that is those that are  $(q^m - 1)$ -free, or  $q_0$ -free, where  $q_0$  is the square-free part of  $q^m - 1$ .

- Let  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{GL}_2(\mathbb{F}_q)$ ,  $q_1 \mid q_0$  and  $F_i \mid F_0$ , for  $i = 1, 2$ . Set  $\mathbf{k} := (q_1, F_1, F_2)$  and call it a **divisor triple**. We call  $x \in \mathbb{F}_{q^m}$   **$\mathbf{k}_A$ -free**, if  $x$  is  $q_1$ -free and  $F_1$ -free and  $(ax + b)/(cx + d)$  is  $F_2$ -free. Also,  $N_A(\mathbf{k})$  stands for the number of  $x \in \mathbb{F}_{q^m}$  that are  $\mathbf{k}_A$ -free.
- Set  $t_r$  to be the number of prime (or irreducible) divisors of  $r$  and  $W(r) := 2^{t_r}$ . It follows that  $\sum_{d \mid r} |\mu(d)| = W(r)$ .
- For  $\mathbf{k} = (q_1, F_1, F_2)$  we will denote by  $f(\mathbf{k})$  the product  $f(q_1)f(F_1)f(F_2)$ , where  $f$  may be  $\theta$ ,  $\phi$ ,  $\mu$  or  $W$ .

## Lemma

For any  $r \in \mathbb{N}$ ,  $W(r) \leq c_{r,a} r^{1/a}$ , where  $c_{r,a} = 2^s / (p_1 \cdots p_s)^{1/a}$  and  $p_1, \dots, p_s$  are the primes  $\leq 2^a$  that divide  $r$ .

Clearly our aim is to prove that  $N_A(\mathfrak{w}) > 0$ . The proposition below is our first step towards this.

## Proposition

*Let  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{GL}_2(\mathbb{F}_q)$  and  $\mathbf{k}$  be a divisor triple. If  $(q, c) \neq (2, 0)$  and  $q^{m/2} \geq 3W(\mathbf{k})$ , then  $N_A(\mathbf{k}) > 0$ .*

- If  $q = 2$  and  $c = 0$ , then  $A = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ , hence we are looking for some free  $x$ , such that  $x + 1$  is also free, impossible for odd  $m$  and always true for even  $m$ .
- The proof is divided in two parts,  $c \neq 0$  and  $c = 0$ , since different types of character sums arise in each case.

Following Cohen and Huczynska (2003 and 2010), we introduce a sieve that will help us get improved results.

### Proposition

*Let  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{GL}_2(\mathbb{F}_q)$ ,  $\mathbf{k}$  be a divisor triple with a  $(\mathbf{k}_0, r)$ -decomposition, such that  $\delta > 0$  and  $\mathbf{k}_0 = (q_1, F_1, F_1)$ . If  $(q, c) \neq (2, 0)$  and  $q^{m/2} > 3W(\mathbf{k}_0)\Delta$ , then  $N_A(\mathbf{k}) > 0$ .*

It follows from well-known results about the way that  $F_0$  splits into irreducible factors that

### Proposition

Let  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{GL}_2(\mathbb{F}_q)$ ,  $(q, c) \neq (2, 0)$ ,  $\{l_1, \dots, l_t\}$  be a set of distinct primes (this set may be  $\emptyset$ , in which case  $t = 0$ ) dividing  $q_0$  and  $r_0 := \deg(F_0/G_0)$ . If

$$q^{m/2} > \frac{3}{2^t} W(q_0) W^2(F_0/G_0) \left( \frac{q^s(2(m_0 - r_0) + s(t - 1))}{sq^s \left(1 - \sum_{i=1}^t 1/l_i\right) - 2(m_0 - r_0)} + 2 \right),$$

then  $N_A(\mathbf{w}) > 0$ , provided that the above denominator is positive.



Using previous results, we begin to prove that  $N_A(\mathbf{w}) > 0$ , by distinguishing the following special cases:

- $m_0 \leq 4$ .
- $m_0 = q - 1$ .
- $m_0 \mid q - 1$ .
- $m = 2$ : This is a special case altogether, treated separately.
- None of the above.

We explicitly check each of the cases described above and we deduce the following.

## Theorem

Let  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{GL}_2(\mathbb{F}_q)$ . If  $q \neq 2$  or  $A \neq \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ , there exist some primitive  $x \in \mathbb{F}_{q^m}$ , such that both  $x$  and  $(ax + b)/(cx + d)$  produce a normal  $\mathbb{F}_q$ -basis of  $\mathbb{F}_{q^m}$ , unless  $(q, m)$  is one of the 70 pairs listed below.

Case	Possible exception pairs $(q, m)$	#
$m_0 \leq 4$	$(8, 6), (5, 5), (4, 6), (3, 12), (3, 6), (2, 12), (2, 8), (2, 6), (2, 4), (4, 4), (8, 4), (3, 4), (7, 4), (11, 4), (19, 4), (23, 4), (2, 3), (3, 3), (5, 3), (8, 3), (9, 3), (11, 3), (23, 3)$	23
$m_0 = q - 1$	$(4, 3), (5, 4), (7, 6), (8, 7), (9, 8), (11, 10), (13, 12), (16, 15)$	8
$m_0 \mid q - 1$	$(7, 3), (9, 4), (11, 5), (13, 3), (13, 4), (13, 6), (16, 3), (17, 4), (19, 3), (25, 3)$	10
$\rho > 1/3$	$(5, 8), (7, 12), (13, 8), (5, 16)$	4
$\rho \leq 1/3$	$(5, 6), (5, 12), (7, 5), (11, 6)$	4
$q < 5$	$(4, 5), (4, 7), (4, 9), (4, 15), (3, 5), (3, 7), (3, 8), (3, 10), (3, 16), (2, 5), (2, 7), (2, 9), (2, 11), (2, 15), (2, 21)$	15
$m = 2$	$(2, 2), (3, 2), (4, 2), (5, 2), (7, 2), (11, 2)$	6
<b>Total:</b>		<b>70</b>

Our final step is to examine the remaining cases one-by-one and identify the true exceptions to our problem. In order to perform all the necessary tests, a computer program was written in Sage. These are the results.

Table:  $q = 2$ .

$m$	$f \in \mathbb{F}_2[X]$ irreducible	$x \in \mathbb{F}_{2^m}$ primitive, such that $x$ and $A_i \circ x$ free
2	$X^2 + X + 1$	$\beta$ for $i = 0, 1, 2$
3	$X^3 + X + 1$	$\beta + 1$ for $i = 0, 2$ ; <b>None</b> for $i = 1$
4	$X^4 + X + 1$	<b>None</b> for $i = 0$ ; $\beta^3 + 1$ for $i = 1, 2$
5	$X^5 + X^2 + 1$	$\beta^3$ for $i = 0$ ; $\beta + 1$ for $i = 1$ ; $\beta^2 + \beta + 1$ for $i = 2$
6	$X^6 + X^4 + X^3 + X + 1$	$\beta^3 + 1$ for $i = 0$ ; $\beta^3 + \beta + 1$ for $i = 1, 2$
7	$X^7 + X + 1$	$\beta^3 + \beta + 1$ for $i = 0$ ; $\beta^3 + \beta^2 + 1$ for $i = 1$ ; $\beta^3 + 1$ for $i = 2$
8	$X^8 + X^4 + X^3 + X^2 + 1$	$\beta^5 + \beta$ for $i = 0$ ; $\beta^5 + \beta + 1$ for $i = 1, 2$
9	$X^9 + X^4 + 1$	$\beta^4 + \beta + 1$ for $i = 0$ ; $\beta + 1$ for $i = 1$ ; $\beta^2 + \beta + 1$ for $i = 2$
11	$X^{11} + X^2 + 1$	$\beta^3 + 1$ for $i = 0$ ; $\beta + 1$ for $i = 1$ ; $\beta^2 + \beta + 1$ for $i = 2$
12	$X^{12} + X^7 + X^6 + X^5 + X^3 + X + 1$	$\beta^5 + 1$ for $i = 0, 1, 2$
15	$X^{15} + X^5 + X^4 + X^2 + 1$	$\beta^3 + 1$ for $i = 0$ ; $\beta + 1$ for $i = 1$ ; $\beta^4 + \beta^3 + \beta^2 + \beta + 1$ for $i = 2$
21	$X^{21} + X^6 + X^5 + X^2 + 1$	$\beta^5 + \beta^2 + \beta + 1$ for $i = 0$ ; $\beta^3 + \beta + 1$ for $i = 1$ ; $\beta^4 + \beta^3 + \beta + 1$ for $i = 2$

Table:  $q = 3$ .

$m$	$f \in \mathbb{F}_3[X]$ irreducible	$x \in \mathbb{F}_{3^m}$ primitive, such that $x$ and $A \circ x$ free
2	$X^2 + 2X + 2$	$\beta + 2$ (4); $\beta$ (6)
3	$X^3 + 2X + 1$	$2\beta^2 + 1$ (3); $\beta^2 + 1$ (7)
4	$X^4 + 2X^3 + 2$	$\beta$ (7); $2\beta$ (3)
5	$X^5 + 2X + 1$	$\beta + 1$ (6); $\beta + 2$ (3); $\beta + 2$ (1)
6	$X^6 + 2X^4 + X^2 + 2X + 2$	$\beta^2 + 1$ (5); $\beta^2 + \beta + 2$ (3); $\beta^4 + 2\beta^2$ (2)
7	$X^7 + 2X^2 + 1$	$\beta^2 + 1$ (2); $2\beta + 2$ (2); $\beta + 2$ (6)
8	$X^8 + 2X^5 + X^4 + 2X^2 + 2X + 2$	$\beta^4 + \beta + 1$ (4); $\beta^4 + \beta^2 + 2\beta + 1$ (3); $\beta^4 + \beta^3 + 1$ (1); $\beta^4 + 2\beta$ (2)
10	$X^{10} + 2X^6 + 2X^5 + 2X^4 + X + 2$	$\beta^3 + 2\beta + 1$ (7); $\beta^3 + 2\beta^2 + 1$ (1); $2\beta^3 + \beta + 2$ (2)
12	$X^{12} + X^6 + X^5 + X^4 + X^2 + 2$	$\beta^7 + 2\beta + 2$ (5); $\beta^7 + \beta^2 + \beta$ (3); $\beta^7 + \beta^2 + \beta + 2$ (2)
16	$X^{16} + 2X^7 + 2X^6 + 2X^4 + 2X^3 + 2X^2 + X + 2$	$\beta + 2$ (3); $2\beta + 1$ (3); $\beta^2 + 2$ (1); $2\beta^2 + 1$ (1); $2\beta^3 + \beta^2 + 1$ (1); $\beta^3 + 2\beta^2 + 2$ (1)

Table:  $q = 5$ .

$m$	$f \in \mathbb{F}_5[X]$ irreducible	$x \in \mathbb{F}_{5^m}$ primitive, such that $x$ and $A \circ x$ free
2	$X^2 + 4X + 2$	$\beta$ (22); $\beta + 4$ (6)
3	$X^3 + 3X + 3$	$\beta + 3$ (23); $2\beta + 4$ (1); $\beta + 4$ (4)
4	$X^4 + 4X^2 + 4X + 2$	$\beta^2 + \beta + 1$ (15); $\beta^2 + 3\beta + 3$ (5); $\beta^2 + 3\beta + 4$ (1); <b>None</b> (4); $\beta^2 + 4\beta$ (1); $2\beta^2 + \beta + 1$ (1); $2\beta^2 + 3\beta$ (1)
5	$X^5 + 4X + 3$	$\beta^4 + 1$ (23); $\beta^4 + 2$ (5)
6	$X^6 + X^4 + 4X^3 + X^2 + 2$	$\beta^2 + 1$ (11); $2\beta^2 + 4\beta + 3$ (4); $\beta^2 + 2\beta + 4$ (5); $\beta^2 + \beta$ (6); $2\beta^2 + 2\beta$ (1); $3\beta^2 + 3$ (1)
8	$X^8 + X^4 + 3X^2 + 4X + 2$	$\beta^3 + 2\beta + 2$ (9); $\beta^3 + 3\beta + 2$ (5); $\beta^3 + 2\beta + 1$ (10); $\beta^3 + 4\beta + 3$ (2); $\beta^3 + 3\beta + 4$ (1); $\beta^3 + 4\beta + 4$ (1)
12	$X^{12} + X^7 + X^6 + 4X^4 + 4X^3 + 3X^2 + 2X + 2$	$\beta + 4$ (14); $3\beta + 2$ (5); $2\beta + 3$ (7); $4\beta + 1$ (2)
16	$X^{16} + X^8 + 4X^7 + 4X^6 + 4X^5 + 2X^4 + 4X^3 + 4X^2 + X + 2$	$2\beta^2 + 4\beta + 1$ (1); $\beta^2 + 2\beta + 3$ (7); $\beta^2 + 2$ (10); $\beta^2 + 4\beta + 3$ (8); $3\beta^2 + 2\beta + 4$ (1); $2\beta^2 + 4$ (1)

Table:  $q \in \{7, 11\}$ .

$q$	$m$	$f \in \mathbb{F}_q[X]$ irreducible	$x \in \mathbb{F}_{q^m}$ primitive, such that $x$ and $A \circ x$ free
7	2	$X^2 + 6X + 3$	$\beta$ (46); $\beta + 1$ (8)
	3	$X^3 + 6X^2 + 4$	$\beta + 1$ (16); $\beta + 6$ (3); $\beta$ (35)
	4	$X^4 + 5X^2 + 4X + 3$	$\beta + 1$ (46); $\beta + 3$ (8)
	5	$X^5 + X + 4$	$\beta + 1$ (46); $3\beta + 4$ (8)
	6	$X^6 + X^4 + 5X^3 + 4X^2 + 6X + 3$	$\beta^2 + 5\beta$ (8); $\beta^2 + 4\beta$ (9); $\beta^2 + 4\beta + 2$ (12); $\beta^2 + 5\beta + 4$ (6); $\beta^2 + 3\beta + 6$ (16); $2\beta^2 + \beta$ (1); $\beta^2 + 6\beta + 6$ (1); $\beta^2 + 6\beta + 1$ (1)
	12	$X^{12} + 2X^8 + 5X^7 + 3X^6 + 2X^5 + 4X^4 + 5X^2 + 3$	$\beta^2 + 4\beta + 1$ (15); $3\beta^2 + 3\beta + 4$ (1); $2\beta^2 + \beta + 2$ (1); $\beta^2 + \beta + 6$ (29); $\beta^2 + 5\beta + 4$ (5); $2\beta^2 + 3\beta + 1$ (1); $\beta^2 + 5\beta + 3$ (2)
11	2	$X^2 + 7X + 2$	$\beta$ (118); $\beta + 7$ (12)
	3	$X^3 + 2X + 9$	$\beta + 7$ (12); $\beta + 4$ (118)
	4	$X^4 + 8X^2 + 10X + 2$	$\beta + 2$ (118); $\beta + 5$ (10); $\beta + 6$ (2)
	5	$X^5 + 10X^2 + 9$	$\beta + 7$ (6); $\beta + 4$ (78); $\beta + 5$ (35); $\beta + 10$ (1); $\beta + 9$ (10)
	6	$X^6 + 3X^4 + 4X^3 + 6X^2 + 7X + 2$	$\beta + 3$ (118); $\beta + 8$ (10); $2\beta + 5$ (2)
	10	$X^{10} + 7X^5 + 8X^4 + 10X^3 + 6X^2 + 6X + 2$	$\beta + 10$ (22); $\beta + 4$ (59); $\beta + 7$ (33); $2\beta + 3$ (13); $2\beta + 9$ (2); $2\beta + 8$ (1)

Table:  $q$  is a prime  $\geq 13$ .

$q$	$m$	$f \in \mathbb{F}_q[X]$ irreducible	$x \in \mathbb{F}_{q^m}$ primitive, such that $x$ and $A \circ x$ free
13	3	$X^3 + 2X + 11$	$\beta + 5$ (142); $2\beta + 6$ (15); $2\beta + 3$ (21); $2\beta + 8$ (1); $2\beta + 9$ (1)
	4	$X^4 + 3X^2 + 12X + 2$	$\beta + 2$ (142); $\beta + 4$ (32); $\beta + 11$ (6)
	6	$X^6 + 10X^3 + 11X^2 + 11X + 2$	$\beta^3 + \beta + 9$ (3); $\beta^3 + \beta + 3$ (31); $\beta^3 + \beta$ (118); $\beta^3 + \beta + 7$ (28)
	8	$X^8 + 8X^4 + 12X^3 + 2X^2 + 3X + 2$	$\beta + 1$ (131); $\beta + 3$ (42); $\beta + 5$ (6); $\beta + 11$ (1)
	12	$X^{12} + X^8 + 5X^7 + 8X^6 + 11X^5 + 3X^4 + X^3 + X^2 + 4X + 2$	$\beta + 11$ (37); $\beta + 3$ (59); $2\beta + 1$ (13); $\beta + 7$ (37); $\beta + 6$ (15); $3\beta + 5$ (1); $2\beta + 5$ (2); $\beta + 9$ (13); $2\beta + 9$ (2); $3\beta + 7$ (1)
17	4	$X^4 + 7X^2 + 10X + 3$	$\beta + 9$ (222); $\beta + 10$ (58); $\beta + 13$ (21); $2\beta + 3$ (1); $2\beta + 3$ (2)
19	3	$X^3 + 4X + 17$	$\beta + 3$ (322); $\beta + 5$ (52); $\beta + 6$ (4)
	4	$X^4 + 2X^2 + 11X + 2$	$\beta + 1$ (322); $\beta + 5$ (50); $\beta + 8$ (5); $\beta + 9$ (1)
23	3	$X^3 + 2X + 18$	$\beta + 9$ (526); $\beta + 3$ (24)
	4	$X^4 + 3X^2 + 19X + 5$	$\beta + 7$ (526); $\beta + 9$ (23); $\beta + 11$ (1)

Table:  $q = 4$ .In that case,  $\mathbb{F}_4 = \mathbb{F}_2(\alpha)$ , where  $\alpha$  is a root of  $X^2 + X + 1 \in \mathbb{F}_2[X]$ .

$m$	$f \in \mathbb{F}_q[X]$	$x \in \mathbb{F}_{q^m}$
2	$X^2 + X + \alpha$	$\alpha\beta + \alpha + 1$ (18)
3	$X^3 + \alpha X^2 + (\alpha + 1)X + \alpha$	$\alpha\beta^2 + (\alpha + 1)\beta + \alpha + 1$ (3); $\alpha\beta^2 + \alpha\beta$ (8); $\alpha\beta^2 + \alpha\beta + \alpha + 1$ (3); <b>None</b> (3); $\alpha\beta^2 + (\alpha + 1)\beta + 1$ (1)
4	$X^4 + X^2 + (\alpha + 1)X + \alpha$	$\alpha\beta^3$ (15); $\alpha\beta^3 + \alpha$ (3)
5	$X^5 + (\alpha + 1)X^4 + X + \alpha$	$\alpha\beta + \alpha$ (14); $(\alpha + 1)\beta$ (4)
6	$X^6 + (\alpha + 1)X^5 + (\alpha + 1)X^4 + X^3 + X + \alpha + 1$	$\alpha\beta^3 + \alpha\beta$ (11); $\alpha\beta^3 + \alpha$ (7)
7	$X^7 + \alpha X^6 + X^5 + (\alpha + 1)X^3 + X^2 + \alpha X + 1$	$\alpha\beta$ (13); $\alpha\beta + 1$ (5)
9	$X^9 + (\alpha + 1)X^8 + \alpha X^7 + X^6 + (\alpha + 1)X^5 + \alpha X^4 + X^3 + (\alpha + 1)X + 1$	$\alpha\beta^2 + \alpha\beta$ (8); $\alpha\beta^2 + \alpha\beta + 1$ (2); $(\alpha + 1)\beta^2 + \alpha\beta + 1$ (1); $\alpha\beta^2 + \alpha\beta + \alpha + 1$ (6); $\alpha\beta^2 + \beta + \alpha + 1$ (1)
15	$X^{15} + \alpha X^{14} + (\alpha + 1)X^{13} + X^{12} + \alpha X^{11} + \alpha X^{10} + X^8 + X^7 + X^6 + X^4 + (\alpha + 1)X^3 + \alpha X + 1$	$(\alpha + 1)\beta^2 + \alpha\beta + \alpha$ (4); $\alpha\beta^2 + \alpha\beta + 1$ (8); $\alpha\beta^2 + (\alpha + 1)\beta + 1$ (1); $\beta^2 + \beta + \alpha + 1$ (1); $\alpha\beta^2 + \beta + 1$ (2); $\beta^2 + \alpha\beta + \alpha + 1$ (1); $(\alpha + 1)\beta^2 + (\alpha + 1)\beta + \alpha$ (1)



Table:  $q \in \{8, 9\}$ .

$q$	$h \in \mathbb{F}_p[X]$	$m$	$f \in \mathbb{F}_q[X]$	$x \in \mathbb{F}_{q^m}$
8	$X^3 + X + 1$	3	$X^3 + (\alpha^2 + \alpha + 1)X^2 + (\alpha^2 + 1)X + \alpha^2 + \alpha + 1$	$\alpha\beta$ (61); $\alpha\beta + \alpha$ (9)
		4	$X^4 + (\alpha^2 + 1)X^3 + (\alpha^2 + \alpha)X^2 + (\alpha^2 + \alpha)X + \alpha^2 + 1$	$\alpha\beta$ (62); $\alpha\beta + \alpha + 1$ (8)
		6	$X^6 + (\alpha^2 + \alpha + 1)X^5 + (\alpha^2 + \alpha + 1)X^3 + X^2 + (\alpha^2 + \alpha + 1)X + 1$	$\alpha\beta$ (70)
		7	$X^7 + (\alpha^2 + \alpha + 1)X^6 + (\alpha + 1)X^5 + (\alpha^2 + 1)X^4 + \alpha^2 X^3 + (\alpha + 1)X^2 + (\alpha + 1)X + \alpha^2 + 1$	$\alpha\beta^2 + \alpha\beta + \alpha^2 + \alpha$ (9); $\alpha\beta^2 + \alpha\beta + \alpha^2$ (8); $\alpha\beta^2 + \alpha\beta + \alpha$ (22); $\alpha\beta^2 + \alpha\beta$ (27); $\alpha\beta^2 + \alpha\beta + \alpha^2 + 1$ (2); $\alpha\beta^2 + \alpha\beta + \alpha^2 + \alpha + 1$ (2)
9	$X^2 + 2X + 2$	3	$X^3 + X^2 + \alpha + 1$	$\alpha\beta$ (80); $\alpha\beta + \alpha$ (8)
		4	$X^4 + (\alpha + 2)X^3 + 2X^2 + (\alpha + 1)X + 2\alpha + 1$	$\alpha\beta + \alpha + 1$ (63); $\alpha\beta + \alpha + 2$ (15); $\alpha\beta^2 + \alpha\beta + \alpha + 1$ (7); $(\alpha + 1)\beta + 2\alpha + 1$ (1); $\alpha\beta^2 + (\alpha + 2)\beta + 2$ (1); $(\alpha + 1)\beta + 1$ (1)
		8	$X^8 + (2\alpha + 2)X^7 + 2\alpha X^5 + 2\alpha X^4 + 2X^3 + (2\alpha + 1)X^2 + (2\alpha + 2)X + \alpha + 2$	$\alpha\beta^2 + \alpha\beta + 2\alpha + 1$ (47); $\alpha\beta^2 + \alpha\beta + \alpha + 2$ (19); $\alpha\beta^2 + (2\alpha + 1)\beta + 1$ (8); $\alpha\beta^2 + \alpha\beta + 2\alpha + 2$ (11); $\alpha\beta^2 + (2\alpha + 1)\beta$ (2); $\alpha\beta^2 + 2\beta + 2\alpha + 1$ (1)

Table:  $q \in \{16, 25\}$ .

$q$	$h \in \mathbb{F}_p[X]$	$m$	$f \in \mathbb{F}_q[X]$	$x \in \mathbb{F}_q^m$
16	$X^4 + X + 1$	3	$X^3 + (\alpha + 1)X + \alpha^2$	$\alpha\beta + \alpha$ (223); $\alpha\beta + \alpha + 1$ (41); $\alpha\beta + \alpha^2 + \alpha + 1$ (6)
		15	$X^{15} + (\alpha^3 + 1)X^{14} + (\alpha^3 + \alpha^2 + \alpha + 1)X^{13} + \alpha^3 X^{12} + \alpha X^{11} + (\alpha^2 + \alpha + 1)X^{10} + (\alpha^3 + \alpha^2)X^9 + \alpha X^8 + (\alpha^2 + \alpha)X^7 + (\alpha^2 + 1)X^6 + (\alpha^3 + \alpha)X^5 + (\alpha^2 + \alpha + 1)X^4 + \alpha^2 X^3 + (\alpha^3 + \alpha^2)X^2 + (\alpha^2 + \alpha)X + \alpha^3 + \alpha$	$\alpha\beta + \alpha^3$ (93); $\alpha\beta + \alpha + 1$ (21); $\alpha\beta + \alpha$ (133); $\alpha\beta + \alpha^2 + 1$ (17); $\alpha\beta + \alpha^3 + \alpha + 1$ (4); $\alpha\beta + \alpha^3 + \alpha$ (2)
25	$X^2 + 4X + 2$	3	$X^3 + (3\alpha + 3)X^2 + 2\alpha X + 2\alpha + 2$	$\alpha\beta$ (575); $\alpha\beta + \alpha$ (67); $\alpha\beta + 2\alpha + 2$ (5); $\alpha\beta + 2\alpha + 1$ (1)

Summing up, we have proved:

## Theorem

Let  $q$  be a prime power,  $m \geq 2$  an integer and  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{GL}_2(\mathbb{F}_q)$ , where  $A \neq \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  if  $q = 2$  and  $m$  is odd. There exists some primitive  $x \in \mathbb{F}_{q^m}$ , such that both  $x$  and  $(ax + b)/(cx + d)$  produce a normal basis of  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$ , unless one of the following hold:

- ①  $q = 2$ ,  $m = 3$  and  $A = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  or  $A = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$ ,
- ②  $q = 3$ ,  $m = 4$  and  $A$  is anti-diagonal or
- ③  $(q, m)$  is  $(2, 4)$ ,  $(4, 3)$  or  $(5, 4)$  and  $d = 0$ .

- We have exactly the exceptions appearing in the strong primitive normal basis theorem.
- We have no exceptions at all if all of the entries of  $A$  are non-zero!
- All the exceptions described above are genuine (not just possible).

# Part III: Extending the (strong) primitive normal basis theorem II

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G. Kapetanakis.

An extension of the (strong) primitive normal basis theorem.  
*Appl. Algebra Engrg. Comm. Comput.*, 25(5):311–337, 2014.

The problem we consider here is the following.

## Problem

*Let  $q$  be a prime power,  $m$  a positive integer and  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{GL}_2(\mathbb{F}_q)$ . Does there exist some  $x \in \mathbb{F}_{q^m}$  such that both  $x$  and  $(ax + b)/(cx + d)$  are simultaneously primitive and free over  $\mathbb{F}_q$ ?*

- This problem and the problem we considered in Part II, are similar, but not identical, i.e. in Part II we had three conditions ( $x$  is primitive,  $x$  is free over  $\mathbb{F}_q$  and  $(ax + b)/(cx + d)$  is free over  $\mathbb{F}_q$ ), while here we also demand  $(ax + b)/(cx + d)$  to be primitive. Still both problems are natural extensions of the primitive normal basis theorem and its strong version.
- We do not solve this problem completely, but we show that it is true, provided that  $q$  and  $m$  are large enough.

## Theorem

*Let  $q \geq 23$  be a prime power,  $m \geq 17$  an integer and  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{GL}_2(\mathbb{F}_q)$ , such that if  $A$  has exactly two non-zero entries and  $q$  is odd, then the quotient of these entries is a square in  $\mathbb{F}_{q^m}$  (thus  $A$  may have two, three or four non-zero entries). There exists some  $x \in \mathbb{F}_{q^m}$  such that both  $x$  and  $(ax + b)/(cx + d)$  are simultaneously primitive and free over  $\mathbb{F}_q$ .*

Muito obrigado!